Priority-Setting for the Future Interstate System with Emergent and Future Conditions

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- Hampton Roads Transportation Planning Organization •
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- US Federal Highway Administration •



Risk and Resilience Analytics

Risk analysis ...

An influence of *scenarios* to *priorities*.

Lambert et al. (2016, 2014, 2013, 2012, 2011, 2010, 2009)

The effect of uncertainty on objectives. ISO 31000 (2009)

What can be done in what time frames, what are the tradeoffs, and what are the impacts of current decisions on future options Haimes (1991)

What can go wrong, what are the likelihoods, what are the consequences Kaplan and Garrick (1981)

Measure of the probability and severity of adverse effects. Lowrance, *Of Acceptable Risk* (1976)

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Risk, Safety, and Security Programs

What risks are addressed What are the resources What is monitored and evaluated

Sources: Teng, Thekdi, and Lambert 2012a, 2012b





Scenarios are:

- Projected from stakeholders
- Related to aspirations or advocacy positions

Scenarios not necessarily:

- Mutually exclusive or complete
- An event space
- Objective or primitive mathematical constructs
- Repeatable across experts and elicitations



Wei, CV Bachesel



Sources: Thorisson, Lambert, et al. 2016; Karvetski and Lambert 2012

Emergent & Future Conditions



• Regulatory

- New guidelines or increasingly stringent national or international trade policies.
- Technological
 - Immediate, unforeseen shifts in the directions of energy technologies (such as nuclear technologies, coal technologies, or promising renewable energy technologies).
- Cyber
 - Known and unknown conditions of data/information and control systems
- Geopolitical
 - Shifts in the geopolitical power relating to fossil fuels and natural gas that influence availability and costs of these energies.
- Behavioral
 - Changes in societal viewpoints or lack of acceptance of energy legislation.

Climate and others

 Disruption of infrastructure services, commercial energy grid failures, destruction of energy systems, and deterioration of energy and other infrastructure systems.

Sources: Thorisson, Lambert et al. 2016;

Nakićenović, N. (2000). Energy Scenarios. Chapter 9 in United Nations Development Programme. United Nations Department of Economic and Social Affairs. World Energy Council. <u>World Energy Assessment</u>. New York 2000





Motivation

- Recent Hampton Roads efforts address climate
 - HRPDC studies and reports
 - Cooperative efforts with Univ. VA, Old Dominion Univ., Va.
 Institute of Marine Science
- Transportation planning
 - Newly developed Project Prioritization Process for Long-Range Transportation Plans
 - Other plans (VTRANS2035, Transit Vision, etc.)





Motivation (cont.)

- Adaptations were identified, though had not been integrated to regional planning, with a few exceptions
- Primary focus had been rise of sea level
- Methods and tools were needed for climate impacts to be considered in long-range plans



Motivation (cont.)

- Tools for informing adaptation decisions
 - Where to protect, accommodate, retreat



- Must describe how climate impacts can affect investment priorities
 - Where to invest in new infrastructure or maintenance
- Moving forward
 - Incorporating climate change and adaptive management into local and regional plans, including LRTP
 - Utilizing scenario analysis across economic and other infrastructure sectors



Purpose and Scope

Address the influences of climate scenarios to longrange transportation planning.

- Climate combines with other factors: Economy, regulation, maintenance/repair, technology, ecology, demographics, etc.
- Which scenarios are an advantage to strategic plans? Which are disruptive to strategic plans?
- Where should investigative resources be focused to avoid regret and belated action?











Foundations

- Virginia and Hampton Roads efforts on climate and transportation planning
- Recent work with US Army Corps of Engineers, VTrans2035
 Office of Intermodal Planning and Investment, FHWA





Foundations (cont.)

- Virginia Governor's Commission on Climate Change, 2008.
- Chesapeake Bay Land Subsidence and Sea Level Change, 2010 (VIMS)
- Sea Coast and Sea Level Trends, 2009 (VIMS)
- The Chesapeake Bay and Global Warming, 2007 (NWF)
- Hampton Roads 2030 Long-Range Transportation Plan, 2007 (HRTPO)
- Prioritization of Transportation Projects for Hampton Roads 2035 Long-Range Transportation Plan: Project Evaluation and Scoring-Final Report, 2010 (HRTPO)
- Climate Change in Hampton Roads Phase I: Impacts and Stakeholder Involvement, 2010 (HRPDC)
- Climate Change in Hampton Roads Phase II: Storm Surge Vulnerability and Public Outreach, 2011 (HRPDC)
- Critical Infrastructure Protection and Resiliency Strategic Plan, 2008 (Commonwealth of Virginia)



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imate change scenarios: risk and impact analysis r Alaska coastal infrastructure

Priority-setting for Alaska coastal villages vulnerable to erosion and climate change Karvetski, Lambert, et al. 2011, pp. 258-273

IEEE TRANSACTIONS ON SYSTEMS, MAN, AND CYBERNETICS-PART A: SYSTEMS AND HUMANS, VOL. 41, NO. 1, JANUARY 2011

Integration of Decision Analysis and Scenario Planning for Coastal Engineering and Climate Change

Climate change and other scenario impacts to infrastructure systems

Karvetski, Lambert, et al. 2011, Vol. 41(1): pp. 63-73



Journal of Risk Research Vol. 14, No. 2, February 2011, 191–214



Scenario-based multiple criteria analysis for infrastructure policy impacts and planning



Multimodal transportation policies influenced by climate change and other scenarios

Schroeder and Lambert 2011, Vol. 14(2): pp. 191-214

Alaska USA Coastal Erosion



Source: Karvetski, C.W., J.H. **Lambert**, et al. 2011. Climate change scenarios: risk and impact analysis for Alaska coastal infrastructure. *Int. J. Risk Assessment and Management*, 15(2/3): 258–274.







Technical Approach





Technical Approach (cont.)



Technical Approach (cont.)



Step 6.

- Additional perspectives. Repeat the Steps 1-5, substituting transportation projects by
- Existing transportation assets

Highway sections, bridges, tunnels, operations systems

- Traffic analysis zones (TAZs) vulnerable to climate 2011 Hampton Roads climate study and others
- Multimodal transportation policies

2009 VTrans twenty-year horizon multimodal policies



Performance Criteria

- Three categories of criteria for project priority-setting
 - Project utility
 - Economic vitality
 - Project viability
- Dozens of subcriteria specific to the project types
 - Highway
 - Interchange
 - Bridge/tunnel
 - Intermodal
 - Transit



"Bridge and Tunnel" Weighting Factors						
Criteria and Subcriteria	Weighting	# of Criteria				
PROJECT UTILITY						
Congestion Level:	30	3				
Infrastructure Condition (Bridge Sufficiency, Tunnel Condition, Obsolescence)	20					
Bridges		1				
Tunnels		3				
System Continuity and Connectivity	10	1				
Safety and Security:	10	3				
Cost Effectiveness (Cost/VMT)	15	1				
Land Use/Future Development Compatibility	10	1				
Modal Enhancements:	5	3				
PROJECT UTILITY TOTAL	100	16				
ECONOMIC VITALITY						
Total Reduction in Travel Time	30	1				
Labor Market Access:	20	2				
Addresses the Needs of Basic Sector Industries:	30	4				
Increases Opportunity:	20	2				
ECONOMIC VITALITY TOTAL	100	9				
PROJECT VIABILITY						
Funding	50	1				
Process/Project Readiness	50	6				
PROJECT VIABILITY TOTAL	100	7				
TOTAL	300	32				



Performance Criteria (cont.)

- Score ranges represent significance among the criteria
- Importance was assessed by TPO/MPO public-involvement activities
- Assessment has not yet considered climate or other worst- and best-case scenarios





Projects of the Long-Range Plan

- Total of 155 projects with thirty-year horizon
- Project are rated on each of the criteria
- Projects are ranked within types (highway, interchange, bridge/tunnel, intermodal, and transit)
- Particular of the projects could be robust to climate scenarios
 - With respect to (i) project scores and (ii) project rankings



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Scenarios that Include Climate

- Up to five scenarios
 - Sea-level, seasons, storms, ecosystem, etc.
- Scenarios reflect evidence and experience of diverse stakeholders
- Scenarios mix climate-change with other factors (economic, regulatory, ecological, technological, etc.)
- Scenarios are updated with new available information
- Question: Do the scenarios

 influence or disrupt strategic
 project priorities of the long-range
 transportation plan





Scenarios that Include Climate

			Sc			
Conditions]	S1. Scenario 1	S2. Scenario 2	S3. Scenario 3	S4. Scenario 4	S5. Scenario !
	Clim	ate Conditions				
Increase i	in sea level rise	•				
Increase	in storm surge	9				
Increase	in precipitation		Com	hinatia	one of	
Increase	in stormwater	Π.				
Increase in st	orm frequency	E				Π
Increase in days	below freezing	E	Climate conditions			
Increase in extr	eme heat days		E	E .	L.	E I
Increased occurre	ence of drought	Π	Π	Π	П	Π
	Non-C	imate Conditio	ns			
Econ	omic recession	Π.	•	E	E	E
No further increase on federal govern	ment debt cap	E	E	E	E	—
Increased wear and ear on public	c infrastructure	E	Π		F	F
New technology for maintenan	ce / inspection	E				
Increase in	traffic demand		Combinations of			
Increase	in area tourism	Π.				
Pop	ulation growth	—	non-clii	nate c	onditia	ons 📃
E	nergy shortage	—				
Changes in land	use regulation	п	E	E	F	—
Increased infectious dese	ase occurrence	E	E	Г	R.	п
Increased loss of fores	st and plant life	п	E	Г	2	п
Increased mortality of native	animal species	E	E	Г	п	п
	HILL H	- 50000	invitible .		1	States and a state of the local division of

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Scenarios that Include Climate

						Scenarios					
Crit	oria		S1. Scenari	io 1	S2 . 9	Scenario 2	S3. Scenario 3	S4. Scenar	io 4	S5. S c	enario 5
	ena	ज		-		Ŧ	-				-
[PU-Highway] Congestion Level										MAJOR	INCREASE
[PU-Highway] Continuity and Conr	nectivity										
[PU-Highway] Cost Effectiveness					МАЈО	R INCREASE	minor decrease				
[PU-Highway] Land Use Pattern Co	ompatibility							MAJOR INCR	EASE		
[PU-Highway] Safety and Security			minor incre	cre incre			increase				
[PU-Highway] Infrastructure Cond	lition		MAJOR INCR	Adjustments of the							
[PU-Highway] Modal Enhancemen	ts			criteria importance for							
[PV-AII] Additional Funding				each of the five							
[PV-All] Prior Commitment											
[PV-All] Federal Mandates			minor decre			5	cenari	OS			
[PV-All] Project Readiness											
[EV-Highway and Bridges/Tunnels] Travel Time Reduction	n								MAJOR	INCREASE
[EV-Highway and Bridges/Tunnels] Labor Market Access				mino	r decrease					
[EV-Highway and Bridges/Tunnels] Sector Industries Sati	sfaction									



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Several Perspectives of Prioritization

• Priority-setting for

(a) Projects, (b) Assets, (c) TAZs, (d) Multimodal policies

- Scenarios may disrupt priority-setting in any/all of (a) to (d)
- Adopt existing multi-criteria priority-setting tools and find what is the influence of climate change



- Do climate scenarios influence priority-setting in (a) to (d)
- Does climate combine with other emergent conditions to influence prioritysetting in (a) to (d)

-Economic, regulatory, maintenance/repair, demographic, environmental, others









Sample of Results

Projects Scores and Prioritization under Climate-Change Scenarios

Projects Scores

Below are the scores (out of 100, with 100 being the best) that each project received under the baseline and each scenario.





Project Rankings

The project rankings table below provides the ranking of each design for each scenario. The first project ranking within each scenario is considered to be the best performing.





155 Strategic Transportation Projects

Each vertical bar indicates sensitivity of project ranking to climate scenarios

Project rankings





Dam	Neck Road	ek Rost Rosd ad	Laskin Road		
	Prestucered Turnered Physical Decision Physical Decision Physical Decision	Dam Neck Laskin Por	Carolyn Dr Carolyn Dr B B Carolyn Dr Carolyn	to the second seco	
Baseline Ranking	18		Baseline Ranking	20	
Highest Ranking	3 (S5. Traffic Scenario)		Highest Ranking	15 (S1. Climate Scenario)	
Lowest Ranking	20 (S4. Ecology Scenario)		Lowest Ranking	42 (S2. Economy Scenario)	
Influential Criterion	PU-HW.C1 Congestion Level		Influential Criterion	PU-HW.C3 Cost Effectiveness 36	
	Marine B.A				



Perspective: Priority-Setting of Multimodal Policies







Influential Scenarios

Priority-Setting in Several Perspectives

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	Projects	Assets	TAZs	Policies
S1. Climate Change	*			
S2. + Economy			*	
S3. + Wear and tear				
S4. + Ecology				
S5. + Traffic demand		*	*	*

* = most influential scenario(s)

- Implementation and impact to decision making
 - Results influenced priority-setting in the Long Range
 Transportation Plans
 - Methods are transferred to other states via a website
- Workshops and trainings
 - Hampton Roads Planning District Commission
 - Hampton Roads Transportation Planning Organization
 - Virginia Department of Transportation
 - Others



Publication #1. "Climate change influence on priority setting for transportation infrastructure assets"

Focuses on Hampton Roads transportation assets

Lambert, J.H. et al. 2013. *ASCE Journal of Infrastructure Systems*. 19(1):36-46.





Publication #2. "Quantifying the influence of climate change to priorities for infrastructure projects"

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by email to

lambert

Øvirginia.

edu

Focuses on projects of the 2034 Hampton Roads Long-Range Transportation Plan

You, H., J.H. Lambert, et al. 2014. IEEE *Transactions on Systems Man and Cybernetics: Systems*. 44(2):133-145.



ΔΔ

Publication #3. "Climate and other scenarios disrupt priorities in several management perspectives."

Focuses on climate impacts to priorities for policies, projects, assets, geographic locations, etc.

You, H., E.B. Connelly, J.H. Lambert, and A.F. Clarens 2015. Springer journal *Environment Systems & Decisions*. 34:540–554.













Summary

Addressed priority-setting for projects, policies, TAZs, and assets

Studied the influence of climate scenarios to long-range transportation plans

Performed a case study in the region of Hampton Roads, VA

Provided the Excel workbook tools for use by TPOs/MPOs in regions across the nation



- The Virginia pilot has supported the FHWA conceptual model, in three layers:
 - Layer 1: Multicriteria priorities of the regional
 Long-Range Transportation Plan
 - Layer 2: Climate scenarios influence priorities for transportation projects
 - Layer 3: Climate scenarios influence four types of priorities (projects, assets, locations-TAZs, and policies)



Level 3. Multiple Perspective Scenario-Informed Analysis





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- A final report describes the significance, methods, and results of the Virginia pilot
- Appendices
 - User guide for software workbook tool
 - Mathematical statement of the Virginia framework

Assessing Vulnerability and Risk of Climate Change Effects on Transportation Infrastructure

Hampton Roads Virginia Pilot





Impact of Climate to Long-Range Transportation Planning

Scenario-Informed Multicriteria Analysis Tool

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Introduction

Climate change impacts and adaptation options have been identified by the <u>Hampton Boads Planning District</u> <u>Commission</u>. However, the relevant analytical process and results have not been integrated to regional planning efforts. Thus, there is a need for methods and tools that allow for climate change impacts to be considered in transportation long-range plans.

A scenario-informed multicriteria priority-setting analysis framework is developed to support the <u>FHWA climate</u> change vulnerbility and risk assessment conceptual model, in three layers:

- Layer 1: Baseline multicriteria priority-setting for the regional Long-Range Transportation Plan;
- Layer 2: Climate-inclusive scenarios and priorities for projects;
- Layer 3: Climate-inclusive scenarios and four types of priorities (projects, assets, TAZs, policies).

Project Reports

- ¹ <u>Our final report</u> summarizes the significance, process, and results of the

Virginia pilot. Mathematical statement of the proposed framework and user guide for software workbook are attached as appendices.

St Priority setting for transportation
projects (HRTPO identified projects for
2035 long-range transportation plan)
 St Priority setting for multimodal

Workbooks

policies (VTrans twenty-year horizon multimodal policies) - St <u>Priority setting for infrastructure</u>

assets (Highway, bridges, tunnels, operations systems)

 Set <u>Priority setting for traffic analysis</u> <u>zones (TAZs) vulnerable to climate</u> (HRPDC studies identified Hampton Roads locations)

Presentations

- 72 FHWA Climate Change (CC) second pilot peer exchange, Olympia, Washington, September 26th, 2011 - 72 FHWA Climate Change (CC) first pilot

peer excha 4th, 2011

RELATED SITES: FHWA | VDOT | HRPDC | HRT

Virginia Pilot « Climate Change Vulnerability and Risk Assessment « FHWA DTFH61-05-D-00019 «

Thu Feb 23 2012 17:05:34 GMT-0500 (东部标准时间)

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Involvement

and Public Outreach

Other Resources

Roads, Phase I: Impacts and Stakeholder

- HRPDC, 📜 Climate Change in Hampton

Roads, Phase II: Storm Surge Vunerability

Workbooks made available for technology transfer at:

http://www.virginia.edu/crmes/fhwa_climate/

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Lessons Learned and Needs

Lesson 1. Ample scientific work including models of climate impacts was available at the initiation of the studies.











Lesson 2. The long-range transportation plan is an appropriate venue for addressing the impacts of climate change in decision making.



Twenty- to thirty-year horizon of the regional planning efforts



Lesson 3. The transportation planners (MPO) used existing scientific and engineering results on climate change for the long-range plan, with effective use of the staff and available resources.





Lesson 4. Climate influenced priority-setting in several perspectives of the long-range transportation plan: (i) Projects, (ii) Assets, (iii) Multimodal policies and (iv) Traffic analysis zones.





Lesson 5. Climate combined with other factors, including economics, ecology, travel demands, wear and tear, land use, regulation, energy policies, technology, etc.., to influence priority-setting.





Lesson 6. The results identified the most influential scenarios for priority-setting. With each update of the long-range plan, our results helped in the allocation of resources.



Most influential scenario for priority-setting: Sea-level rise and storm surge combined with *increase in traffic demand*



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Lesson 7. The framework has been effective in education and training of agency officials et al.



Center for Transportation Studies





Lesson 8. The approach is transferable to the nation. The software workbook tools are provided via a website:

Impact of Climate to Long-Range Transportation Planning Scenario-Informed Multicriteria Analysis Tool

Home | Project Team |

Introduction

Climate change impacts and adaptation options have been identified by the <u>Hampton Roads Planning District</u> <u>Commission</u>. However, the relevant analytical process and results have not been integrated to regional planning efforts. Thus, there is a need for methods and tools that allow for climate change impacts to be considered in transportation long-range plans.

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• Asset vulnerability is insufficient to address climate change -- must address several planning elements

Assets, projects, policies, locations (TAZs), other elements...

 Climate change intersects actual decision making in a region's Long Range Transportation Plan

Time horizon of thirty years or more, updated every four to five years mandated by federal and state laws

• Climate change influences priority-setting both alone and in combination with other factors

Travel demand, economic, wear and tear, ecology, technology, others





Mobile Grid





Afghanistan Sustainable Infrastructure Plan

Disruptions inform *resilience*, an evolution of priorities in time.





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